• veri-platform

IN-SPECIE TRANSFER FORM (Application Supplement)

Please complete ALL sections in CAPITALS, and sign. Please note that for joint accounts, all account holders have to sign this form. Upon receipt, we will contact you to confirm acceptance of your securities by our custodian, and contact the transferring party to initiate the transfer.

PART ONE: ACCOUNT HOLDER INFORMATION				
Title:	Forename(s):	Surname:		
email:		Account Number:		
Phone Number				

ART TWO: JOINT ACCOUNT HOLDER(S) INFORMATION (If Applicable)

Joint Account Holder One (If Applicable)				
Title:	Forename(s):	Surname:		
email:		Phone Number:		
Joint Account Holder Two (If Applicable)				
Title:	Forename(s):	Surname:		
email:		Phone Number:		

PART THREE: ASSETS TO TRANSFER

I have included the most recent statement from my portfolio

I would like to transfer all assets and cash from this account Please proceed to Part Five: Transferring Party Details

OR

I would like to transfer the following listed assets and liquidate the remainder of assets and transfer as cash ase complete all following sections including Part Four: Securities to be Transferre.

ART FOUR: SECURITIES TO BE TRANSFERRED (if applicable)

Where you only wish for certain securities to be transferred and the remainder to be liquidated and the proceeds remitted as cash, please confirm the assets to be transferred in-specie below:

	SECU	RITIES		
Name of Security	Quantity	ISIN	Exchange/Market	Currency

PART FIVE: TRANSFERRING PARTY (TP) DETAILS				
Transferring Party Name (TP):	Contact email:			
Contact Name:	Contact P			
Contact Address:				
Account Number with TP:				

PART SIX: DECLARATION AND INSTRUCTION

I declare that I am the legal beneficial owner of the securities to be transferred and that this transfer constitutes no change in beneficial ownership. I hereby instruct, authorise and mandate Gravitas Finance LLC to disclose and make available any information (including in particular information on my name, address, and transaction information related to the securities transfer and the nature of my relationship with Gravitas Finance LLC to the below listed disclosure recipients for further processing under their control to the extent and as long as this is necessary for the purpose of executing this securities transfer order:

• My bank or TP indicated above in this transfer order form;

• The executing broker Gravitas Finance LLC uses for carrying out the securities transfers, or any custodians, sub-custodians and other intermediaries potentially used when assisting Gravitas Finance LLC in carrying out the securities transfer;

• The central securities depository relevant for the securities transfer and operating a clearing and settlement system in which the executing broker or their custodian is a direct clearing member, e.g. Euroclear UK & Ireland Limited, The Depository Trust Company, or any successor thereof;

• The issuer and its registrar and transfer agent(s) in case the securities to be transferred are registered securities;

The securities transferee and the transferee's custodian, including any sub-custodians or intermediaries used by them;

• A communication medium belonging to or used by any of the before-mentioned disclosure addressees, such as SWIFT (Society for Worldwide Interbank Financial Telecommunication).

I hereby waive, to the extent necessary, any confidentiality or secrecy obligations that Gravitas Finance LLC may have to me, allowing Gravitas Finance LLC to execute my securities transfer order.

I acknowledge and accept that due to the fact that the relevant information is transferred electronically; the same level of confidentiality and the same level of protection regulations may not be guaranteed while such information is transferred and stored abroad. Consequently, information thus stored may be disclosed to authorities of the country of storage or courts pursuant to that country's legislation.

PART SEVEN: JOINT ACCOUNT SIGNATURES (If Applicable)

First Account Holder Name:	Second Account Holder Name: (if applicable)
First Account Holder Signature:	Second Account Holder Signature:
Date:	Date:
Place:	Place:
Third Account Holder Name: (if applicable)	
Third Account Holder Signature:	

NOTES:

Your account with your current provider must be registered in the same name(s) as the account you hold with Gravitas
Finance LLC i.e. transfers from joint names to sole name, and vice versa, are not permitted.
Transfers of Bearer Bonds & Shares, Warrants & Covered Warrants are not permitted.
Your TP may require you to complete additional forms and instructions or provide evidence, where we are provided with
these forms, we will help co-ordinate this, but where this is a direct matter between you and the TP, please provide us
with confirmation of action and where available, copies, so we can manage the transfer for you